

057 - PROBATION

Operational Summary

Mission:

To protect the community by conducting investigations for the court, enforcing court orders, assisting victims, and facilitating the resocialization of offenders.

Strategic Goals:

- Obtain adequate staffing and resources to address the projected service delivery of workload.
- Maintain juvenile institutions at their rated capacities and secure additional resources to meet projected bed demand.
- Expand continuum of balanced approach services for juvenile and adult probationers.
- Evaluate ways to improve adult and juvenile victim-related services.
- Expand the use of technology to enhance the effectiveness of operations.

Key Outcome Measures:

Performance Measure	FY 99-00 Results	FY 00-01 Target	How are we doing?
PERCENT OF PROBATIONERS WHO COMMIT A NEW VIOLENT CRIME WHILE ON PROBATION. What: Percent of probationers who commit a new crime or law violation during their supervision period. Why: Measures level of community safety by identifying probationers arrested for new violent offense.	New measure. Year 2000 will be base year.	Base year. Data under development.	Measurement will be taken at the end of Year 2000.
PERCENT OF PROBATIONERS WHO COMMIT A NEW CRIME OR LAW VIOLATION WHILE ON PROBATION. What: Percent of probationers who commit a new crime or law violation during their supervision period. Why: Measures level of community safety by identifying number of probationers arrested for new offense.	New measure. Year 2000 will be base year.	Base year. Data under development.	Measurement will be taken at the end of Year 2000.
RATING VICTIM SATISFACTION RELATIVE TO THE QUALITY AND MANNER OF PROBATION SERVICES PROVIDED THEM. What: Survey of assistance provided to victims by Probation, and the manner and method of delivery. Why: Measures victim response to services provided by Probation.	New measure. Year 2000 will be base year.	Base year. Data under development.	Measurement will be taken at the end of Year 2000.

At a Glance:

Total FY 1999-00 Actual Expenditure + Encumbrance:	89,841,581
Total Final FY 2000-01 Budget:	101,137,642
Percent of County General Fund:	4.90%
Total Employees:	1,374.00

Key Outcome Measures: (Continued)

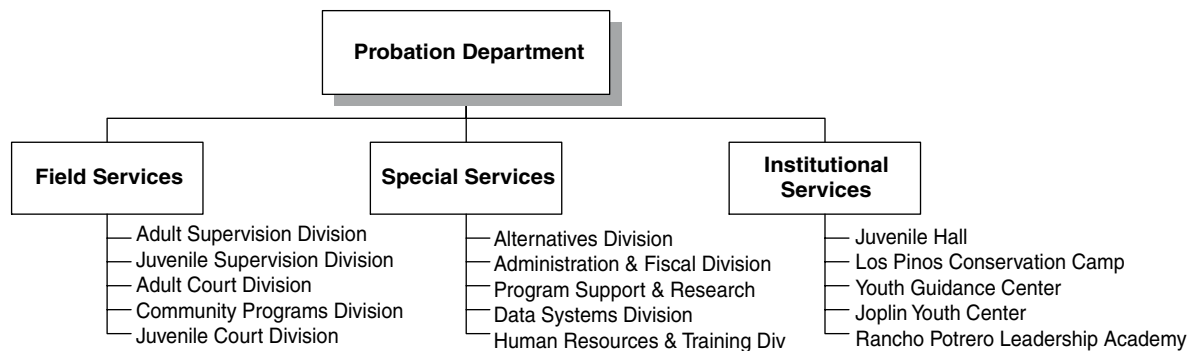
Performance Measure	FY 99-00 Results	FY 00-01 Target	How are we doing?
PERCENT OF COURT-ORDERED RESTITUTION PAID BY PROBATIONERS TO CRIME VICTIMS. What: Measures the percent of restitution owed by a probationer that was actually paid. Why: Measures the success of the Probation Department in collecting restitution for crime victims.	New measure. Year 2000 will be base year.	Base year. Data under development.	Measurement will be taken at the end of Year 2000.
SURVEY OF PROBATION DEPARTMENT EMPLOYEES. What: A survey of employee satisfaction with the quality of the work environment. Why: Measures employee satisfaction of morale, management, communications and customer services.	New measure. Year 2000 will be base year.	Base year. Data under development.	Measurement will be taken at the end of Year 2000.
PERCENT OF COURT INVESTIGATIONS SUBMITTED WITHIN FILING REQUIREMENTS. What: Percent of investigative and progress court reports that are completed and filed timely. Why: Measures the success of the Probation Department at meeting court deadlines.	97% of 1,471 adult investigations, 3,317 juvenile investigations and 557 progress reviews were completed within required timelines.	97% or better.	Measurement will be taken at the end of Year 2000.
PERCENT OF PROBATIONERS EMPLOYED OR IN SCHOOL. What: Percent of probationers employed or in school consistently for at least 6 months in Year 2000. Why: Measures Probation's success in assisting probationers to gain employment or go to school.	New measure. Year 2000 will be base year.	Base year. Data under development.	Measurement will be taken at the end of Year 2000.
IMPROVEMENT IN INTERPERSONAL FUNCTIONING AND LIFE-SKILLS ABILITIES AMONG PROBATIONERS. What: Differences in results of probation assessments given at intake and one year later. Why: Measures effectiveness of initial probation assessment of probationer needs.	New measure. Year 2000 will be base year.	Base year. Data under development.	Measurement will be taken at the end of Year 2000.

Fiscal Year 1999-00 Key Project Accomplishments:

- Continued to aggressively seek new funding sources to support program operations while minimizing impact on County general funds.
- Enhanced the recruitment effort to hire additional qualified sworn and professional staff to more closely meet budgeted needs, supported by a cadre of 500 Volunteers in Probation, 45 Volunteer Probation Officers and five Mentors.
- Developed new public/private partnerships and remained an active participant in existing collaboratives.
- Succeeded in keeping juvenile facility overcrowding at or below the 1998 level.
- Submitted a successful application for State Board of Correction funding to establish a Youth Leadership Academy at Rancho Potrero near Trabuco Canyon.
- Opened Youth and Family Resource Centers in Santa Ana, Aliso Viejo and Garden Grove, fulfilling the objective of providing intensive, multi-agency intervention program services to at-risk youthful offenders throughout Orange County.
- Expanded juvenile continuum of care services by the addition of Intensive in-home family intervention services for older juvenile offenders (15 ¾ - 18 years of age) in the Youth and Family Services Centers and the successful acquisition of Challenge II grant funds to implement the Independent Living and Respite Care/Family Conflict Resolution programs.

- Expanded adult caseload specialization by assigning two revenue-offset Deputy Probation Officers (DPO) to the North Justice Center Drug Court; adding a seventh DPO to the Adult Sex Offender Unit; initiating the consolidation of domestic violence cases into two specialized caseloads per probation supervision region; and partnering with HCA and the Sheriff's Department in the Mentally Ill Offender Crime Reduction grant program.
- Amended the Mission Statement to reflect a renewed focus on victims' services and worked with the Juvenile Court to augment restitution recovery for victims via collection enforcement activities directed at parents who are now held liable, pursuant to new law, for damages caused by their children.
- Continued automation planning endeavors for the Adult Intake and Juvenile Institutional Systems, as well as, photo capturing and document imaging projects.
- Issued 340 laptop computers to field DPO's to allow them modem access to Probation's central client database from remote locations.
- Successfully converted to a Year 2000-compliant accounts receivable system.
- Installed a new, state-of-the-art video security system at Juvenile Hall.
- Avoided, through extensive planning and department-wide testing, operational impairments or compromises in staff and client safety due to computer system failure upon entering the new millennium.

Organizational Summary



PROBATION - FIELD SERVICES - Provides intake screening services for all juveniles referred by law enforcement agencies for alleged violation of the law and provides preliminary investigation to determine if further referral to the District Attorney and Court is necessary. When applicable, refers minors to community-based juvenile resources for services. Provides the Court and Department with information to assist in the sentencing and supervision of offenders.

Provides all supervision of adult and juvenile offenders in the community on formal probation, County parole, or private foster or group home placement. Provides community services for first-time juvenile offenders classified as having a high-risk potential for ongoing delinquency (8% Intervention Program) and transitional aftercare services for juveniles released from Probation Department institutions.

Enforces court orders and assists in the resocialization of offenders through a combination of direct and supportive actions based on ensuring community safety, addressing offender accountability, and promoting competency building in those individuals under supervision.

PROBATION - SPECIAL SERVICES - Provides data system and research support, human resource services, training, administrative and fiscal services, and collections enforcement for all functions of the Probation Department. Provides long-range planning support, outside funding pursuit, legislative analysis, contract administration, and operates the volunteer program. Provides specialized gang-and narcotic-offender supervision, employee internal affairs/background investigations, and processes intercounty/interstate

adult case transfers. Oversees the County's adult supervised electronic confinement and work furlough programs, community resource monitoring, and specialized service provider monitoring such as in cases of domestic violence offenders.

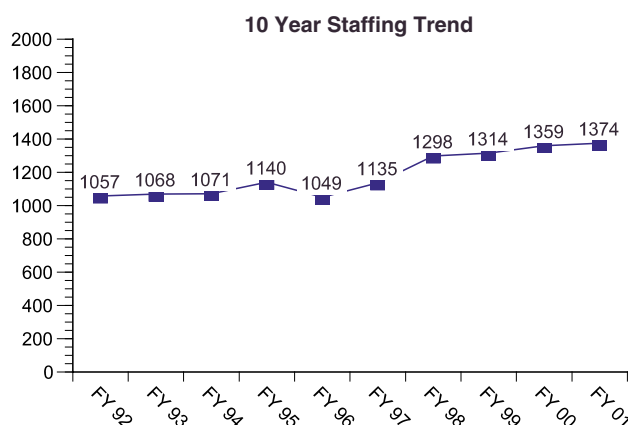
PROBATION - INSTITUTIONAL SVCS - Provides pre-court detention services for arrested minors referred in custody and secure confinement and treatment for committed wards at Juvenile Hall and the Juvenile Hall Annex.

Provides treatment and confinement programs for wards not requiring secure custody at the Los Pinos Conservation Camp, Youth Guidance Center and Joplin Youth Center.

Provides alternatives to County institutionalization through 1) programs of home restriction for select pre- and post-court cases; 2) the Juvenile Court Work Program; and 3) day-treatment commitment programs.

CHIEF PROBATION OFFICER - Oversees a department that provides investigative services to the Superior Court in Justice Centers located throughout Orange County to assist in the sentencing of offenders; supervises those placed on probation or diverted from formal court processes; provides investigative and supervision services for the County parole operation; and operates the County's juvenile correctional facilities.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- The Probation Department staff expanded from FY 97 to FY 00 as a result of the following:

- Opening of new 60-bed unit at Juvenile hall.
- Opening of the Juvenile Hall Annex.
- New or expanded programs arising from the availability of new, non-County General funding sources.
- Assumption of decentralized County services.

Budget Summary

Plan for Support of the County's Strategic Priorities:

The Probation Department will be actively engaged in the expansion of the Los Pinos Conservation Camp and addition of the Rancho Potrero Leadership Academy which were adopted by the Board of Supervisors as Strategic Priorities in 1998 and will continue to aggressively pursue revenue offsets for these projects where available.

The opening of a Youth and Family Employment Resource Center at MCAS-Tustin was also adopted as a Strategic Priority in 1998, and its design and construction is being recommended for inclusion in the FY 00-01 budget. The Probation Department has organized a consortium of providers to contribute to the education and training component of this facility, thereby reducing the ongoing projected annual operational cost for the Center from \$3 million when adopted in 1998 to \$570,000.

In 1999 the Probation Department converted its accounts receivable system to an AS/400 platform integrated with the department's case management system, which also solved a major Year 2000 compliance problem. In 2000-01 a collections module will be added to the accounts receivable system which we anticipate will facilitate the billing and collection of receivables and, hopefully, generate increased revenue for the County. Related thereto, all Probation Department fees will be updated and presented to the Board of Supervisors for adoption in the Fall of 2000. These intentions notwithstanding, the 2000-01 base budget includes an additional \$500,000 in revenue over 1999-00 levels related to Probation's continuing goal of improving the collection of fees and fines from probationers or other responsible parties.

The Probation Department continues to accept leadership roles on a Statewide basis to help facilitate County goals. The Chief Probation Officer has been appointed by the Governor to serve on the State Board of Corrections. The Chief Probation Officer will also continue to take an active role in supporting the Chief Probation Officers of California in the related pursuit of new revenue and the protection of existing revenue resources.

The Probation Department will be convening all managers in August 2000, to begin planning for the FY 2001-02 budget cycle. A review of all existing programs will be initiated to determine if there are any that are no longer effective and should be curtailed or eliminated.

\$500,000 for the second year continuation of the County Islands projects.

As included within the County's Strategic Plan for FY 2000-01 on which the Net County Cost Target is based, funding for the 32-bed expansion of the Los Pinos Conservation Camp, effective April 1, 2001 (\$283,000), and funding to establish a specialized unit to supervise domestic violence cases, effective January 1, 2001 (\$157,000).

An \$800,000 reduction associated with one-time purchases budgeted in FY 1999-00.

\$4.3 million for cost of living adjustments.

Changes Included in the Recommended Base Budget:

The Probation Department base budget is submitted within the Net County Cost target established by the CEO and includes the following changes.

Approved Budget Augmentations and Related Performance Results:

Unit/Amount	Description	Performance Plan	Ref. Num.
Institutional Services Amount: \$ 0	Assistant Probation Division Director for the Rancho Potrero Leadership Academy.	Full-time oversight to the planning and construction of the Leadership Academy.	057-004
Special Services Amount: \$ 0	2 new positions for additional accounting support.	Facilitation of timely identification, recordation and reconciliation of receipts and accounts.	057-007
Special Services Amount: \$ 333,218	6 new positions for juvenile institutional maintenance and repair.	Ability to implement preventative measures in facility maintenance and make repairs more timely.	057-008
Institutional Services Amount: \$ 0	3 new support positions for Juvenile Hall to handle increased # of meals being served.	Probation's Year 2000 Business Plan objective #1 is to obtain adequate staffing to meet workloads.	057-009

Final Budget and History:

Sources and Uses	FY 1998-99 Actual Exp/Rev ⁽¹⁾	FY 1999-00 Final Budget	FY 1999-00 Actual Exp/Rev ⁽¹⁾	FY 2000-01 Final Budget	Change from FY 99-00 Actual	
					Amount	Percent
Total Positions	N/A	1,359	N/A	1,374	1,374	N/A
Total Revenues	32,293,908	34,969,541	34,632,689	35,828,722	1,196,033	3.45
Total Requirements	81,451,884	92,894,615	88,642,261	101,137,642	12,495,381	14.10
Net County Cost	49,157,976	57,925,074	54,009,572	65,308,920	11,299,348	20.92

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 1999-00 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: PROBATION in the Appendix on page 396.

Highlights of Key Trends:

- The need for as many as 564 additional juvenile institutional beds by the year 2010 in anticipation of continued growth in the overall County population, particularly in the juvenile population (age 10 to 17 years), continued growth in the number of gangs and overall gang membership, and an increase in the absolute number of juvenile arrests for violent crimes.
- Renewed interest by the State Legislature in crime prevention and intervention programs for high-risk juvenile populations and more recently for high-risk adult populations, as well as, in restorative justice to make victims of crime whole.
- Continued expansion and growth in opportunities for technological improvements to ways of doing business

Budget Units Under Agency Control

No.	Agency Name	Probation - Field Services	Probation - Special Services	Probation - Institutional Svcs	Chief Probation Officer	Total
057	Probation	37,656,639	24,695,349	38,465,617	320,037	101,137,642
14R	Ward Welfare	0	0	500,000	0	500,000
	Total	37,656,639	24,695,349	38,965,617	320,037	101,637,642